

UTILITY PATENT APPLICATION TRANSMITTAL <i>Only for new nonprovisional applications under 37 1.53(b)</i>	Attorney Docket No.	38423.0022
	First Inventor	Mitchell et al.
	Title	Brazed Plate Fin Heat Exchanger
	Express Mail Label No.	ER 635563706 US

012204 U.S. PTO
10/761448

012004

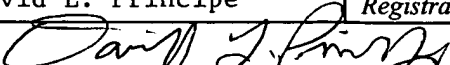
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		Mail Stop Patent Application ADDRESS TO: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. See CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages / 13 /] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets / 7 /] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages / 4 /] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies
		ACCOMPANYING APPLICATION PARTS 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input checked="" type="checkbox"/> Other: checks: \$968 and \$40

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: Group/Art Unit:
 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number:	26712	OR <input checked="" type="checkbox"/> Correspondence address below			
NAME		David L. Principe			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)	David L. Principe		Registration No. (Attorney/Agent)		39,336
Signature			Date	1/20/04	

"Express Mail" Mailing Label Number ER 635563706 US

Date of Deposit January 20, 2004

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

David L. Principe
 Name


 Signature

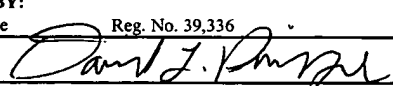
889613

FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent Fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT		(\$1,008)		Application Number			
				Filing Date		January 20, 2004	
				First Named Inventor		Mitchell et al.	
				Examiner Name			
				Group/Art Unit			
				Attorney Docket Number		38423.0022	

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)						
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: <u>08-2442</u> Deposit Account Name: <u>Hodgson Russ LLP</u> The Director is hereby authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any fee deficiencies or credit any overpayments <input type="checkbox"/> Charge any additional fees during pendency of this application. <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account				3. ADDITIONAL FEES						
Large Entity		Small Entity								
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid				
1051	130	2051	65	Surcharge - late filing fee or oath		\$				
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		\$				
1053	130	1053	130	Non-English specification		\$				
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		\$				
1804	920*	1804	920*	Requesting Publication of SIR prior to Examiner Action		\$				
1805	1,840*	1805	1,840*	Requesting Publication of SIR after Examiner Action		\$				
1251	110	2251	55	Extension for reply within first month		\$				
1252	420	2252	210	Extension for reply within second month		\$				
1253	950	2253	475	Extension for reply within third month		\$				
1254	1,480	2254	740	Extension for reply within fourth month		\$				
1255	2,010	2255	1,005	Extension for reply within fifth month		\$				
SUBTOTAL (1)		1401	330	2401	165	Notice of Appeal				
		1402	330	2402	165	Filing a brief in support of an appeal				
		1403	290	2403	145	Request for oral hearing				
		1451	1,510	1451	1,510	Petition to institute a public use proceeding				
		1452	110	2452	55	Petition to revive - unavoidable				
		1453	1,330	2453	665	Petition to revive - unintentional				
		1501	1,330	2501	665	10 advance copies Utility issue fee (or reissue)				
		1502	480	2502	240	Design issue fee				
		1503	640	2503	320	Plant issue fee				
		1460	130	1460	130	Petitions to the Commissioner				
		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
		1806	180	1806	180	Submission of Information Disclosure Statement				
		8021	40	8021	40	Recording each patent assignment per property (times number of properties)				
SUBTOTAL (2)		\$198								
SUBMITTED BY: David L. Principe Reg. No. 39,336				1809		770	2809	385	Filing a submission after final rejection(37 CFR 1.129(a))	\$
SIGNATURE 				1810		770	2810	385	For each add'l invention to be examined(37 CFR 1.129(b))	\$
DATE: January 20, 2004 Telephone: (716) 848-1262				1801		770	2801	385	Request For Continued Examination (RCE)	\$
				1802		900	1802	900	Request for Expedited Examination of a design application	\$
				Other fee (specify) _____ \$						
				*Reduced by basic filing fee paid						
				SUBTOTAL (3) \$ 40						

Express Mail Mailing Label Number ER 635563706 US

Date of Deposit January 20, 2004

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

David L. Principe
Name


Signature

January 20, 2004
Date of Signature

889619